

# Recent Developments in Post-Quantum Cryptography

Tsuyoshi Takagi

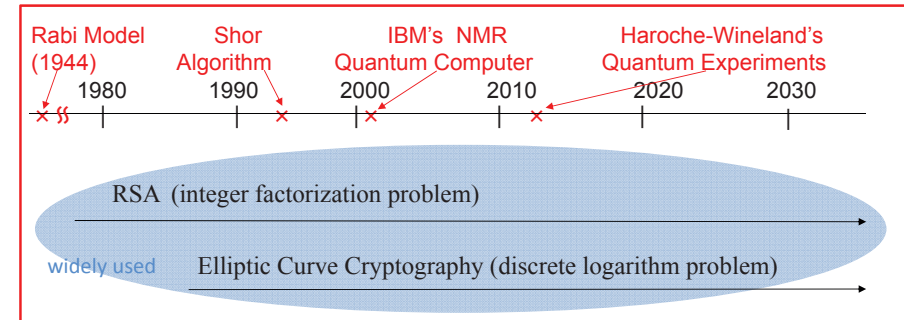
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Recent Developments in Post-Quantum Cryptography

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## History of Public-Key Cryptography



These cryptosystems are no longer secure in the era of quantum computer.



Post-quantum cryptography (PQC)  
(coding, multivariate polynomial, lattice, isogeny, etc)

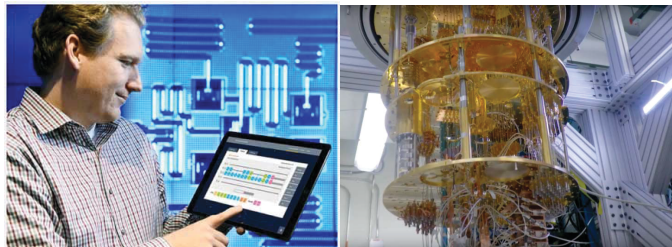
research phase



Recent Developments in Post-Quantum Cryptography

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## Quantum Cloud - IBM Q



<https://www.research.ibm.com/ibm-q/>

Currently IBM Q has 20 qubits in November 2017,  
and they plan to extend it to 50 qubits in a few years.

Google also tries to build a 72-qubit Processor.



Recent Developments in Post-Quantum Cryptography

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## Trend in Post-Quantum Cryptography

- **National Security Agency (NSA)** announced preliminary plans for transitioning to quantum resistant algorithms in August 2015  
[https://www.nsa.gov/ia/programs/suiteb\\_cryptography/](https://www.nsa.gov/ia/programs/suiteb_cryptography/)



- **Some Workshops in 2015-2016**

January 2015, DIMACS Workshop on The Mathematics of Post-Quantum Cryptography

<http://dimacs.rutgers.edu/Workshops/Post-Quantum>

April 2015, NIST Workshop on Cybersecurity in a Post-Quantum World

<http://www.nist.gov/itl/csd/ct/post-quantum-crypto-workshop-2015.cfm>

September 2015, Dagstuhl Seminar - Quantum Cryptanalysis

<https://www.dagstuhl.de/en/program/calendar/semhp/?semnr=15371>

November 2015, ESTI Workshop on Quantum-safe Cryptography

February 2016, PQCrypto 2016: <https://pqcrypto2016.jp/>



- **Big Research Projects**

Post-quantum cryptography for long-term security: <http://pqcrypto.eu.org/>

CROSSING: <https://www.crossing.tu-darmstadt.de/>

JST CREST CryptoMath: <https://cryptomath-crest.jp/>



Recent Developments in Post-Quantum Cryptography

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- More than 240 participants (USA/Canada 70, Europe 60, Asia 60, Japan 40, others 10)
- NIST announced preliminary plans for transitioning to quantum resistant algorithms.



## 69 proper submissions

- **Lattice-based (24 submissions)**

Compact LWE, CRYSTALS-DILITHIUM, CRYSTALS-KYBER, Ding Key Exchange, DRS, EMBLEM and R.EMBLEM, FALCON, Frodo, HILA5, KINDI, LAC, LIMA, Lizard, LOTUS, NewHope, NTRU-HRSS-KEM, NTRU Prime, NTRUEncrypt, Odd Manhattan, pqNTRUSign, qTESLA, Round2, SABER, Titanium

- **Code-based (16 submissions)**

BIG QUAKE, BIKE, Classic McEliece, DAGS, Edon-K, HQC, LEDAkem, LEDApkc, McNie, NTS-KEM, pqsigRM, QC-MDPC KEM, RaCoSS, Ramstake, RLCE-KEM, RQC

- **Multivariate Polynomial (10 submissions)**

CFPKM, DME, DualModeMS, GeMSS, Gui, HiMQ-3, LUOV, MQDSS, Rainbow, SRTPI

- **Hash-based (2 submissions)**

Gravity-SPHINCS, SPHINCS+

- **Isogeny-based (1 submission)**

SIKE

- **Others (16 submissions)**

Giophantus, Guess Again, HK17, LAKE, Lepton, LOCKER, Mersenne-756839, OKCN/AKCN/CNKE, Ouroboros-R, Picnic, Post-quantum RSAEncryption, Post-quantum RSASignature, RankSign, RVB, Three Bears, WalnutDSA

## NIST PQC Standardizations

<http://csrc.nist.gov/groups/ST/post-quantum-crypto/> **NIST**

Deadline: November, 2017

### Public-Key Cryptography Primitives

- digital signatures
- encryption
- Key-establishment (KEMs)

### Some Candidates of PQC (NISTIR 8105)

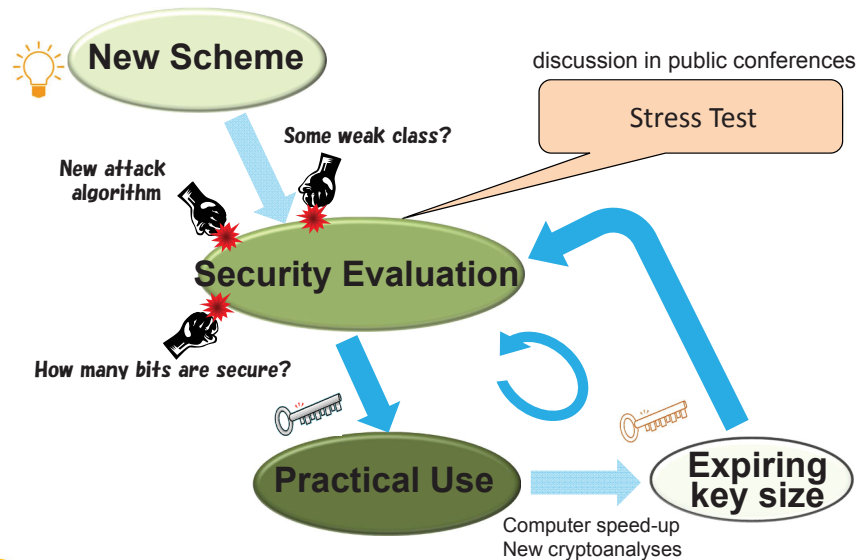
- Hash-based signature
- Code-based cryptography
- Multivariate polynomial cryptography
- Lattice-based cryptography
- Isogeny-based cryptography

## Time line of NIST PQC Project

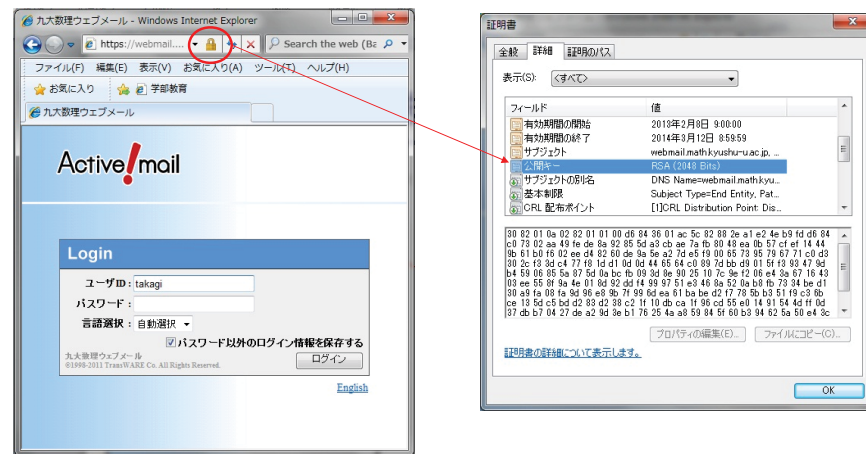
<https://csrc.nist.gov/Projects/Post-Quantum-Cryptography/Workshops-and-Timeline>

- April 2018: 1st NIST PQC Workshop (submitters' presentations)
- August 2019: 2nd NIST PQC Workshop (smaller number of submissions)
- 2020/2021: Select algorithms or start a 3rd Round
- 2022/2024: Draft standards available

# Security Evaluation Cycle



## Example of RSA public key



## Current record for factoring integers

- January 2010, 768 bits, 1500 CPU years, Aoki et al.
- 12301866845301177551304949583849627207728535695953347921973  
22452151726400507263657518745202199786469389956474942774063  
84592519255732630345373154826850791702612214291346167042921  
4311602221240479274737794080665351419597459856902143413  
=  
33478071698956898786044169848212690817704794983713768568912  
431388982883793878002287614711652531743087737814467999489  
×  
36746043666799590428244633799627952632279158164343087642676  
032283815739666511279233373417143396810270092798736308917

$$\text{Number field sieve} \quad O\left(e^{(c+o(1))(\log n)^{\frac{1}{3}} (\log \log n)^{\frac{2}{3}}}\right)$$

# Cryptography Research and Evaluation Committees in Japan



# CRYPTREC

Cryptography Research and Evaluation Committees

<http://www.cryptrec.go.jp/>

日本語

- About CRYPTREC
- Organization of CRYPTREC
- History of CRYPTREC
- CRYPTREC Report
- Technical Report
- e-Government Recommended Ciphers List
- Specifications of e-Government Recommended Ciphers
- Guide to Related Organizations

## About CRYPTREC

# CRYPTREC

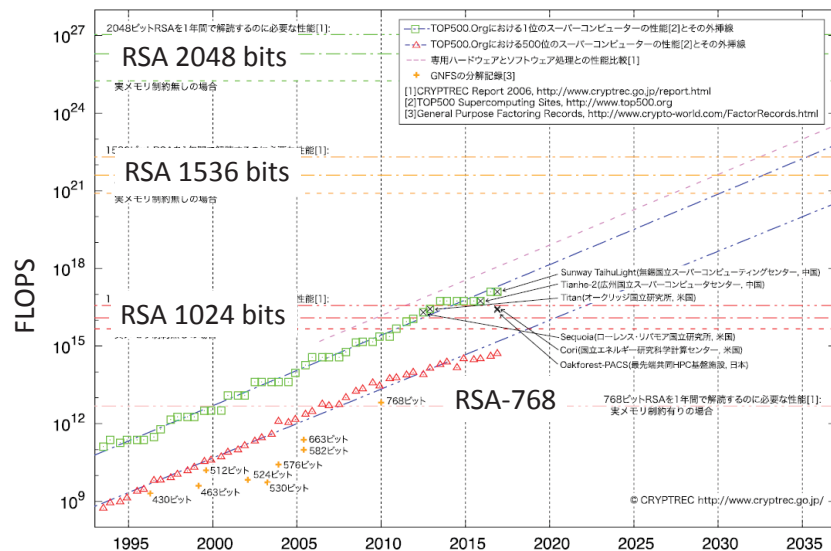
Cryptography Research and Evaluation Committees

### 1.About CRYPTREC

CRYPTREC is an abbreviation of Cryptography Research and Evaluation Committees, and it refers to a project to evaluate and monitor the security of e-Government recommended ciphers, as well as to examine the establishment of evaluation criteria for cryptographic modules.

It consists of the CRYPTREC Advisory Board for Cryptographic Technology (chairperson, Hideki Imai : a professor at Chuo University), which is jointly constituted by the Ministry of Internal Affairs and Communication (MIC) and the Ministry of Economy, Trade and Industry (METI); the Cryptographic Scheme Committee (chairperson, Hideki Imai : a professor at Chuo University), the Cryptographic Module Committee (chairperson, Naofumi Honma; an associate professor at Tohoku University), and the Cryptographic Operation Committee (chairperson, Tsutomu Matsumoto; a professor at Yokohama National University), which are jointly constituted by the National Institute of Information and Communication Technology (NICT) and the Information-technology Promotion Agency, Japan (IPA).

# Estimation for Key Length of RSA



## Multivariate Public-Key Cryptography (MPKC)

## Multivariate Quadratic polynomial problem (MQ Problem)

An example: 2 variables and 3 equations over finite field GF(7)

$$\begin{cases} f_1(x_1, x_2) = 2x_1^2 + 5x_1x_2 + x_2^2 + 3x_1 + 5x_2 + 1 \\ f_2(x_1, x_2) = 6x_1^2 + 4x_1x_2 + 2x_1 + 6x_2 + 2 \\ f_3(x_1, x_2) = 3x_1^2 + 6x_1x_2 + 6x_1 + 2x_2 + 3 \end{cases}$$

We try to find a common solution of  $f_i(x_1, x_2) = 0$  for  $i=1,2,3$ .

## MQ problem

MPKC are public key cryptosystems whose security depends on the difficulty in solving a system of multivariate quadratic polynomials with coefficients in a finite field  $K$ .

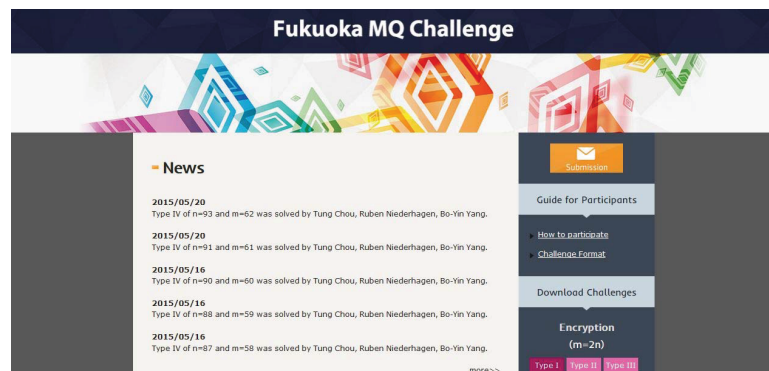
**MQ problem:** find a solution of the system of multivariate equations:

$$\begin{cases} f_1(x_1, \dots, x_n) = \sum_{1 \leq i, j \leq n} a_{ij}^{(1)} x_i x_j + \sum_{1 \leq i \leq n} b_i^{(1)} x_i + c^{(1)} = d_1 \\ f_2(x_1, \dots, x_n) = \sum_{1 \leq i, j \leq n} a_{ij}^{(2)} x_i x_j + \sum_{1 \leq i \leq n} b_i^{(2)} x_i + c^{(2)} = d_2 \\ \vdots \\ f_m(x_1, \dots, x_n) = \sum_{1 \leq i, j \leq n} a_{ij}^{(m)} x_i x_j + \sum_{1 \leq i \leq n} b_i^{(m)} x_i + c^{(m)} = d_m \end{cases}$$

It is believed that it is difficult to solve (general) MQ problem.

# Fukuoka MQ Challenge

Starting from April 2015  
<https://www.mqchallenge.org/>



# Gröbner basis attack

A fundamental tool for solving MQ problem is Gröbner basis. Faugère proposed efficient algorithms as  $F_4$  and  $F_5$  to improve original algorithm[1][2].

## Complexity for solving MQ problem[3]

$$O((m \cdot \binom{n+d_{reg}-1}{d_{reg}})^\omega)$$

where  $2 < \omega < 3$ , and  $d_{reg}$  is an invariant determined by the multivariate polynomial system.

## Reference:

- [1] Faugère, J.C., A New Efficient Algorithm for Computing Gröbner Bases ( $F_4$ ), Journal of Pure and Applied Algebra, vol. 139, 1999.
- [2] Faugère, J.C., A New Efficient Algorithm for Computing Gröbner Bases ( $F_5$ ), ISSAC, ACM press, 2002.
- [3] Bettale, L., Faugère, J.C. and Perret L., Hybrid approach for solving multivariate systems over finite fields", J. Math. Crypt. vol. 2, 2008.

## Simulated encryption scheme

- Simple matrix scheme, Extension Field Cancellation, ...
- We choose parameters  $m=2n$ .
  - To ensure the success of decryption, parameters  $n \leq m$  are used
  - $m=2n$  is suggested by Simple matrix scheme.

$$\begin{cases} f_1(x_1, \dots, x_n) = \sum_{1 \leq i, j \leq n} a_{ij}^{(1)} x_i x_j + \sum_{1 \leq i \leq n} b_i^{(1)} x_i + c^{(1)} = d_1 \\ \vdots \\ f_{2n}(x_1, \dots, x_n) = \sum_{1 \leq i, j \leq n} a_{ij}^{(2n)} x_i x_j + \sum_{1 \leq i \leq n} b_i^{(2n)} x_i + c^{(2n)} = d_{2n} \end{cases}$$

$d_1, \dots, d_n$  is chosen to have a solution

## Simulated signature scheme

- UOV, Rainbow (multilayered UOV), ...
- We choose parameters  $n \sim 1.5m$ .
  - suggested by Rainbow scheme
- Due to the free variables, the complexity is equal to  $n=m$ .

$$\begin{cases} f_1(x_1, \dots, x_m, \overbrace{x_{m+1}, \dots, x_{1.5m}}^{\text{free variables}}) = \sum_{1 \leq i, j \leq 1.5m} a_{ij}^{(1)} x_i x_j + \sum_{1 \leq i \leq 1.5m} b_i^{(1)} x_i + c^{(1)} = d_1 \\ \vdots \\ f_m(x_1, \dots, x_m, \overbrace{x_{m+1}, \dots, x_{1.5m}}^{\text{free variables}}) = \sum_{1 \leq i, j \leq 1.5m} a_{ij}^{(m)} x_i x_j + \sum_{1 \leq i \leq 1.5m} b_i^{(m)} x_i + c^{(m)} = d_m \end{cases}$$

We can assign random value to free variables  $x_{m+1}, \dots, x_{1.5m}$

## Base fields

- In MPKC scheme, finite field with **small size** are used.
  - In order to get efficient arithmetic operation.
- How to choose base field for the MQ problem
  - Binary field : **GF(2)**
    - The most typical field.
    - More solvers can solve systems over binary field, ex, SAT solver.
  - Binary extension field : **GF(2<sup>8</sup>)**
    - Binary extension field are used in many applications.
    - GF(2<sup>8</sup>) is the reasonable size for the cryptanalysis.
  - Prime field : **GF(31)**
    - Another typical field used in cryptography is prime field.
    - GF(31) also had the reasonable size for the cryptanalysis.

## 6 Types of Challenges

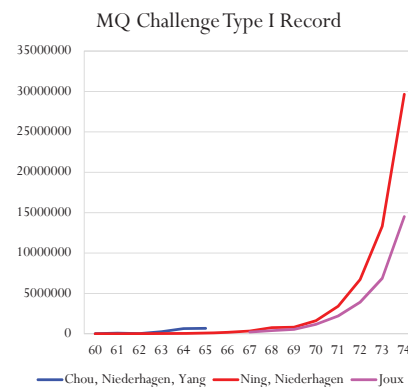
- m: # equations, n: # variables, q: base field GF(q)

Type			
I	$m = 2n$	$GF(2)$	Encryption
II	$m = 2n$	$GF(2^8)$	Encryption
III	$m = 2n$	$GF(31)$	Encryption
IV	$n \approx 1,5m$	$GF(2)$	Signature
V	$n \approx 1,5m$	$GF(2^8)$	Signature
VI	$n \approx 1,5m$	$GF(31)$	Signature

## Current Record – Type I (GF(2), $m = 2n$ )

n	m	time Chou, Niederhagen, Yang	time Ning, Niederhagen	time*0.01 Joux
60	120	23537	5976	-
61	122	80245	11268	-
62	124	30553	21888	-
63	126	266460	35316	-
64	128	654780	49824	-
65	130	1001580	92484	-
66	132	676200	184284	-
67	134	-	354204	221184
68	136	-	772020	405504
69	138	-	824760	552960
70	140	-	1629540	1179648
71	142	-	3409920	2211840
72	144	-	6722784	3922329
73	146	-	13323132	6871449
74	148	-	29649780	14515200 (?)

unit: second



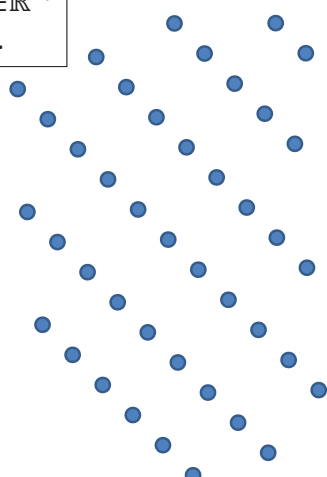
## Lattice-based Cryptography

# Lattice-based Cryptography

A **lattice**  $L$  is the set of all integer combinations of  $n$  linearly independent vectors  $\mathbf{b}_1, \dots, \mathbf{b}_n \in \mathbb{R}^m$   
 $L(\mathbf{b}_1, \dots, \mathbf{b}_n) = \{\sum_{t=1}^n x_t \mathbf{b}_t, x_t \in \mathbb{Z}\}.$

Shortest Vector Problem (SVP)  
 Input: basis  $\mathbf{b}_1, \dots, \mathbf{b}_n$  of lattice  $L$   
 Output: non-zero shortest vector in  $L$

**NP-hard problem**  
 SVP is used in cryptography  
 Ajtai 1996, Regev 2005

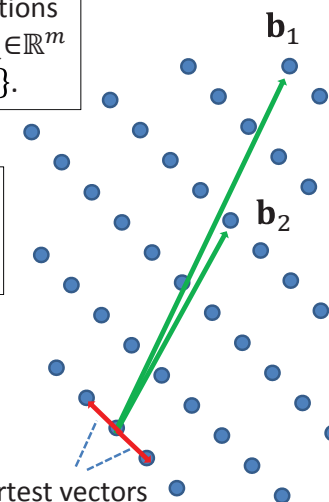


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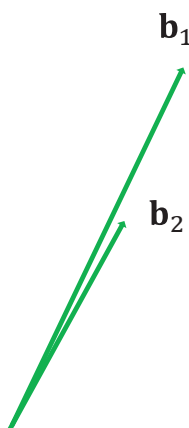


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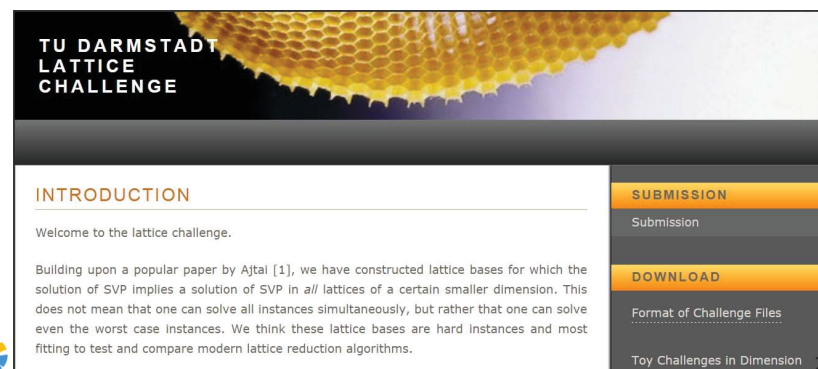
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# Darmstadt Lattice Challenge

<https://www.latticechallenge.org/>

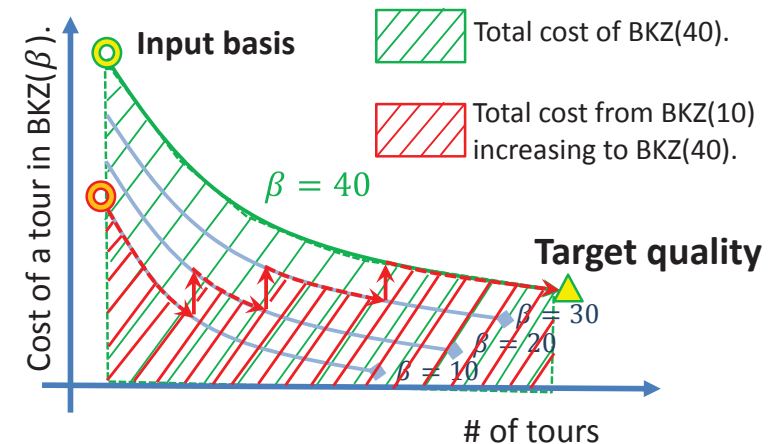
- SVP Challenge / Lattice Challenge (since 2008)
- Ideal Lattice Challenge (since 2013)
- LWE Challenge (since 2016)



# Algorithms for solving the SVP

- (1) Lattice basis reduction (LLL/BKZ algorithm)
  - polynomial-time (+ exponential-time exhaustive search)
- (2) Enumeration (Extreme pruning [Gama-Nguyen-Regev 2010])
  - time:  $2^{O(n^2)}$ , space: polynomial size
- (3) Sieving (Gauss sieve algorithm [Micciancio-Voulgaris 2010])
  - time: heuristically  $2^{O(n)}$ , space:  $2^{O(n)}$
- (4) Others

# Idea of progressive BKZ



## Darmstadt Lattice Challenge

Cost Estimation of Progressive BKZ

Dimension	$n \cdot \det^{1/n}$	
	blocksize	$\log_2(\text{Time}[\text{sec}])$
550	77	17.5
600	102	20.1
650	114	24.3
700	124	28.5
800	145	40.2
900	163	52.5
1000	182	67.2



### SVP HALL OF FAME

Position	Dimension	Index	Seed	Euclidean norm	Contestant	Solution
1	130	131	0	2912	Shang-Yi Yang	vec
2	130	262	0	3000	Jean-Christophe Deneuville	vec
3	130	262	0	3004	Kenji KASHIWABARA and Tadanori TERUYA	vec
4	128	255	0	2924	Kenji KASHIWABARA and Tadanori TERUYA	vec
5	128	256	0	2959	Tsukasa Ishiguro, Shinsaku Kiyomoto, Yutaka Miyake, Tsuyoshi Takagi	vec

### APPROX-SVP HALL OF FAME

Position	Dimension	Index	Seed	Euclidean norm	Contestant	Solution
1	652	653	0	626850	Yuntao Wang, Yoshinori Takuya Hayashi, Jintai Tsuyoshi Takagi	$2^{24.0}$ sec
2	652	653	0	626936	Yuntao Wang, Yoshinori Aono, Takuya Hayashi, Tsuyoshi Takagi	vec
3	652	653	0	661210	Jean-Christophe Deneuville	vec
4	652	653	0	661349	Yuntao Wang, Yoshinori Aono, Takuya Hayashi, Tsuyoshi Takagi	vec
5	600	601	0	542883	Jean-Christophe Deneuville	vec

**We have solved SVP of 652 dimensions.  
EUROCRYPT2016**

## Learning with Errors (LWE) Problem

Dimension  $n$ ;  
Standard deviation  $\sigma$  of discrete Gaussian distribution  $D_\sigma$ ;  
Number of samples  $m$ ;  
Modulus  $q$ ;  
Relative error size:  $\alpha = \sigma/q$ ;

$$\mathbf{b} = \mathbf{A} \mathbf{s} + \mathbf{e} \pmod{q}$$

$\mathbf{b} \in \mathbb{Z}_q^m$      $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$      $\mathbf{s} \in \mathbb{Z}_q^n$      $\mathbf{e} \in D_\sigma^m$

## Embedding Technique on LWE

Embedding technique [Kannan@1987]:  
Reduce LWE problem to unique-SVP problem.

Input: an instance  $(A, \mathbf{b} \equiv A\mathbf{s} + \mathbf{e} \pmod{q}) \in (\mathbb{Z}_q^{m \times n}, \mathbb{Z}_q^m)$ .

Output: secret vector  $\mathbf{s} \in \mathbb{Z}_q^n$ .

Step 1. construct basis  $\mathbf{B}_{HNF}$  of  $L_1 = \{\mathbf{v} \in \mathbb{Z}_m \mid \mathbf{v} \equiv A\mathbf{s} \pmod{q}, \mathbf{s} \in \mathbb{Z}_n\}$ .

Step 2. Rescale  $\mathbf{B}_{HNF}$  to  $\mathbf{B}' = \begin{pmatrix} \mathbf{B}_{HNF}^T & \mathbf{b} \\ 0 & M \end{pmatrix}$  as a basis of  $L_2$  to reduce

BDD to unique-SVP.

Step 3. Process  $\mathbf{B}'$  using reduction algorithm to derive a short vector

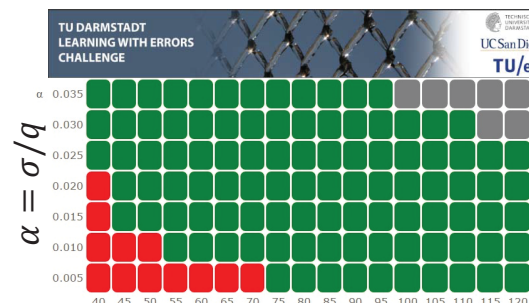
$$\mathbf{w} = \begin{pmatrix} \mathbf{e} \\ M \end{pmatrix} = \mathbf{B}' \begin{pmatrix} \mathbf{u} \\ 1 \end{pmatrix}$$

which satisfies  $\|\mathbf{w}\| \leq \sqrt{\|\mathbf{e}\|^2 + M^2}$ , here  $\|\mathbf{e}\| \approx m\sqrt{\sigma}$ .

Step 4. Compute the error vector  $\mathbf{e} = \mathbf{b} - A\mathbf{u}$ ;

and compute the secret vector  $\mathbf{s}$  in  $(\mathbf{b} - \mathbf{e}) = A\mathbf{s}$ .

## Experimental Results: TU Darmstadt LWE Challenge



$(\alpha, n) = (0.005, 70)$

Embedding technique  
+ Progressive BKZ

E5-2697 v2 @ 2.70GHz

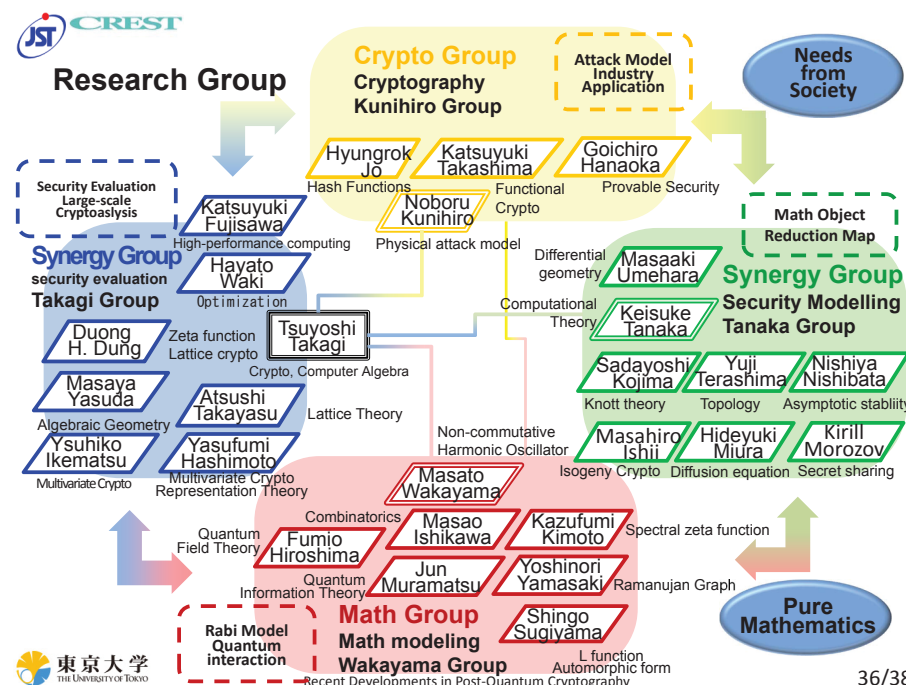
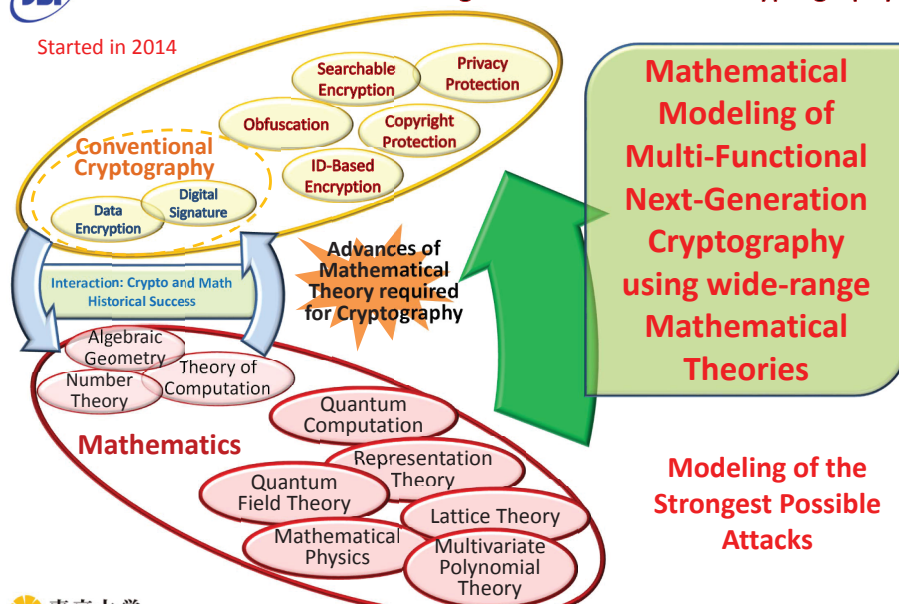
32.73 single core hours

### LATEST SUBMISSIONS

Date	Dimension	Relative error	Contestant	Error norm	Submission
2016-10-24	70	0.005	Yuntao Wang; Yoshinori Aono; Takuya Hayashi; Tsuyoshi Takagi	1731.68	Details
2016-08-09	40	0.020	Kun Xu; Kazumasa Fukushima; Shinsaku Kiyomoto; Tsuyoshi Takagi	1302.90	Details
2016-08-02	65	0.005	Yuntao Wang; Yoshinori Aono; Takuya Hayashi; Tsuyoshi Takagi	1373.69	Details
2016-07-28	50	0.010	Yuntao Wang; Yoshinori Aono; Takuya Hayashi; Tsuyoshi Takagi	1296.82	Details
2016-07-01	60	0.005	Rui Xu; Kazumasa Fukushima; Shinsaku Kiyomoto; Tsuyoshi Takagi	1089.84	Details

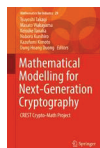
## JST CREST: Mathematical Modelling for Next-Generation Cryptography

Started in 2014



# Book “CREST Crypto-Math”

- Published on July 2017 from Springer  
Mathematical Modelling for Next-Generation Cryptography  
Mathematics for Industry Vol.29, Springer, 2017. (359ページ)  
<http://www.springer.com/in/book/9789811050640>



19 papers about research results, technical survey, open problems in this project.

## Part I Mathematical Cryptography

- Hash-based Signature, Code-based Crypto, Multivariate Polynomial Crypto, Isogeny-based Crypto,

## Part II Mathematics Towards Cryptography

- Quantum Rabi Model, Group-Subgroup Pair Graphs, Ramanujan Cayley Graphs

## Part III Lattices and Cryptography

- Learning with Errors Problem, Integer Quadratic Programming, Log Units and L-function

## Part IV Cryptographic Protocols

- Security in Leakage Model, Fully Homomorphic Encryptions, Identity-based Encryptions



# Conclusion

- Recent developments in Post-Quantum Cryptography  
- NIST PQC Standardization
- Multivariate Polynomial Cryptography  
- Fukuoka MQ Challenge
- Lattice-based Cryptography  
- Darmstadt Lattice Challenge
- JST CREST Crypto-Math Project  
- Mathematical Modelling for Next-Generation Cryptography

